

## Investment Company Variable Contracts Limited Representative License Exam Manual Ptrak Series 6

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The purpose of this class is to help prepare you to pass the Investment Company Products/Variable Contracts Limited Representative Exam (the Series 6). This book will save you time by covering the main points pre- sented in class and serving as a reference when you review for the exam. Extra space is provided for your notes.

Investment Company Products/Variable Contracts Limited ...

The Series 6 exam — the Investment Company and Variable Contracts Products Representative Qualification Examination (IR) — assesses the competency of an entry-level representative to perform their job as an investment company and variable contracts products representative. The exam measures the degree to which each candidate possesses the knowledge needed to perform the critical functions ...

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Series 6 exam - Wikipedia

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## Download Free Investment Company Variable Contracts Limited Representative License Exam Manual Ptrak Series 6

The Investment Company Products/Variable Contracts Limited Representative exam, or the Series 6, qualifies an individual who will function as a principal for the solicitation, purchase, and/or sale of redeemable securities of companies registered pursuant to the Investment Company Act of 1940; securities of closed-end companies registered pursuant to the Investment Company Act of 1940 during the period of original distribution only; and variable contracts and insurance premium funding programs and other contracts issued by an insurance company. This qualification allows transactions on the following: Mutual funds (closed-end funds on the initial offering only); variable annuities; variable life insurance; unit investment trusts; municipal fund securities (e.g., 529 College Savings Plans, Local Government Investment Pools (LGIPs), Coverdell Education Savings Accounts). A Series 6 Registered Representative may conduct business in mutual funds and variable contracts. Each book is accompanied by an online test bank with 201 questions, organized by chapter. The questions included in the book have been included in this online test bank. Topics Covered: Securities Markets, Investment Securities and Economic Factors Securities and Tax Regulation Marketing, Prospecting and Sales Presentation Evaluation of Customers Product Information; Investment Company Securities and Variable Contracts Opening and Servicing Customer Accounts Test: 100 multiple-choice questions; Two hours and fifteen minutes to complete exam; Candidate must answer 70% of the questions correctly to pass. There are no pre-requisites to take the Series 6 exam, but candidates must be sponsored by a FINRA member firm.

En los Estados Unidos, para registrarse ante la NASD como Representante de una Compañía de Inversión de Contrato Variable Limitado y calificar como vendedor de valores y contratos variables de inversión, antes debe aprobar el examen de la Serie 6.

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The go-to guide to acing the Series 6 Exam! Passing the Series 6 Exam qualifies an individual to function as an agent of a broker dealer and allows the limited representative to transact business in mutual funds (closed-end funds on the initial offering only), unit investment trusts, variable annuities, and variable life insurance products. In addition to passing the Series 6 Exam, an agent may be required to pass a state life insurance exam to transact business in variable contracts. Created by the experts at The Securities Institute of America, Inc., Wiley Series 6 Exam Review 2016 arms you with what you need to score high on this tough 100-question test. Designed to let you build and fine-tune your knowledge of all areas covered in the exam and to guarantee that you're prepared mentally and strategically to take the test, it provides: Dozens of examples Assorted practice questions for each subject area covered in the exam Priceless test-taking tips and strategies Helpful hints on how to study for the test, manage stress, and stay focused Wiley Series 6 Exam Review 2016 is your ticket to passing the Series 6 test on the first try—with flying colors!

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